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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/002,225

11/20/2001

Tony F. Rodriguez

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DIGIMARC CORPORATION  
9405 SW GEMINI DRIVE  
BEAVERTON, OR 97008

EXAMINER

RAMAN, USHA

ART UNIT

PAPER NUMBER

2424

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PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/002,225	<b>Applicant(s)</b> RODRIGUEZ, TONY F.	
	<b>Examiner</b> USHA RAMAN	<b>Art Unit</b> 2424	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 15 December 2008.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 21-27 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 21-27 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                     | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

***Response to Arguments***

1. Applicant's arguments filed December 15<sup>th</sup>, 2008 have been fully considered but they are not persuasive.

While applicant has generally incorporated by reference application 09/571,422, applicant does not specify the context for incorporating the aforementioned application that would convey how the how the incorporated reference relates to or is tied to the disclosed invention and therefore was not in possession of embodiments combining features of the instant application with features of the '422 application.

Furthermore, ambiguity regarding inventorship of claims 21-27 remains outstanding. All the claimed limitations for claims 21-27 are anticipated by Rhoads et al. patent. It is additionally noted that applicant is not one of the listed inventors in the Rhoads et al. patent. As the claimed subject matter is entirely directed to the contents disclosed in the Rhoads et al. patent, it appears that Rhoads et al. remain the inventors for the claimed invention, i.e. applicant does not appear to be the inventor of the invention recited in the claims. Furthermore, since the Rhoads patent is not a parent of the instant application, Rhoads additionally qualifies as prior art under 35 USC 102(e).

***Claim Rejections - 35 USC § 112***

2. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the

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art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

3. Claims 21-27 rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

Applicant remarked in correspondence filed August 13, 2008 that, "there is no showing that the '571 patent teaches each element of the rejected claims. (For example the '571 patent is silent on software instructions causing a process to establish a layered stack of protocols, etc.)". While applicant has generally incorporated by reference application 09/571,422 (now US Pat. 6,947,571), applicant does not specify the context for incorporating the aforementioned application that would convey how the how the incorporated reference relates to or is tied to the disclosed invention. As such, applicant was not in possession of embodiments combining features of the instant application with features of the '422 application.

### ***Claim Rejections - 35 USC § 102***

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent

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granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

(f) he did not himself invent the subject matter sought to be patented.

5. Claims 21-27 rejected under 35 U.S.C. 102(e) as being anticipated by Rhoads et al. (US Pat. 6,947,571).

The applied reference has a common assignee with the instant application. Based upon the earlier effective U.S. filing date of the reference, it constitutes prior art under 35 U.S.C. 102(e). This rejection under 35 U.S.C. 102(e) might be overcome either by a showing under 37 CFR 1.132 that any invention disclosed but not claimed in the reference was derived from the inventor of this application and is thus not the invention "by another," or by an appropriate showing under 37 CFR 1.131.

With respect to claim 21, Rhoads discloses a handheld cell phone having a processor (col. 45, lines 30-31), memory (col. 46, lines 63-64), a wireless interface (it is noted that a cell phone has a wireless interface), one or more input devices including a 2D image sensor (col. 45 lines 29-30), and one or more output devices including a display screen (col. 45, lines 37-43), the handheld cell phone operative to make phone calls (col. 46, line 57), send email (col. 46, lines 37-38), and display received video data (col. 46, lines 52-53), the handheld cell phone characterized by a watermark decoder (col. 45, lines 29-32, wherein Bedoop data is digitally watermarked in objects) operative to decode plural-bit watermark data steganographically embedded "in band" within content data received (objects maybe

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electronic objects such as video, col. 49, lines 24-27, col. 48, lines 32-36) using the wireless interface (incoming video content data is received using wireless interface, col. 46, line 53) and processed by said handheld cell phone (col. 45, lines 30-35) the handheld cell phone further comprising software instructions in said memory (col. 45, lines 33-35) causing said processor to establish a layered stack of protocols and a software interface (API) through which a request to invoke watermark decoding functionality can be passed down from a higher layer to said watermark decoder (col. 45, lines 34-35, decoding effected by hardware by an executing software, therefore software, i.e. higher layer, invokes hardware decoder), and through which results of watermark decoding can be returned up to said higher layer col. 49, lines 66-col. 50, line 4), the software interface serving to mask or hide implementation details of said watermark decoder from said higher layer (higher layers mask or hide implementation details of lower layers), wherein said higher layer is operative to employ said decoded watermark data in connection with an application selected by a user (col. 45, lines 52-55, lines 65-67 and col. 46, lines 1-6).

With regards to claim 22, Rhoads further discloses wherein said higher layer is operative to render content to a user, the rendered content including the digital content from which the watermark was decoded (col. 46, lines 52-53), and auxiliary content obtained by reference to said decoded watermark data (col. 45 lines 49-55, lines 65-67).

With regards to claim 23, Rhoads further discloses wherein the handheld cell phone with which the watermark decoder is operative to decode watermark data

from image data captured from the 2D image sensor (col. 45, lines 28-32, col. 46, lines 46-48 and col. 49, lines 24-27).

With regards to claim 24, Rhoads further discloses, wherein the watermark decoder is operative to decode watermark data from audio data (col. 49, lines 52-26).

With regards to claim 25, Rhoads further discloses the watermark decoder is operative to decode watermark data from received video data (col. 49, lines 52-26).

With regards to claim 25, Rhoads further discloses wherein said application comprises an email application (certain Bedoop data may invoke application for emails, col. 12, lines 44-49, lines 52-53, col. 31, lines 27-29, lines 43-44).

With regards to claim 27, Rhoads further discloses wherein said application comprises a phone application (col. 45, lines 56-58).

6. Claims 21-27 are rejected under 35 U.S.C. 102(f) because the applicant did not invent the claimed subject matter.

As discussed in the 102(e) rejection above, all the claimed limitations are anticipated by Rhoads et al. patent. It is additionally noted that applicant is not one of the listed inventors in the Rhoads et al. patent. As the claimed subject matter is entirely directed to the contents disclosed in the Rhoads et al. patent, it appears that Rhoads et al. remain the inventors for the claimed invention, i.e. applicant does not appear to be the inventor of the invention recited in the claims. As such ambiguity regarding inventorship of claims 21-27 remains outstanding.

***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to USHA RAMAN whose telephone number is (571)272-7380. The examiner can normally be reached on Mon-Fri: 8am-4:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Christopher Kelley can be reached on (571) 272-7331. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Christopher Kelley/  
Supervisory Patent Examiner, Art  
Unit 2424

/Usha Raman/